



Encoding Nested Boolean Functions as Quantified Boolean Formulas

Uwe Bubeck and Hans Kleine Büning

University of Paderborn

26.9.2010



- Introduction
- Nested Boolean Functions
- Transformation from NBF To QBF
- Transformation from QBF To NBF
- Conclusion



Introduction





QBF extends propositional logic with quantifiers:

$\forall x \phi(x)$ is true if and only if

$\phi[x/0]$ is true **and** $\phi[x/1]$ is true

$\exists x \phi(x)$ is true if and only if

$\phi[x/0]$ is true **or** $\phi[x/1]$ is true

→ Distinction between **quantified** and **free** variables:
only **free** variables are directly taken into account
for **equivalence**.

Compact Encodings with QBF 2/3



Given: propositional formula $\phi(z_1, \dots, z_r)$.

Wanted:

shorter QBF $\Phi(z_1, \dots, z_r)$ with free variables z_1, \dots, z_r

such that $\mathfrak{S}_\Phi(z_1, \dots, z_r) = \mathfrak{S}_\phi(z_1, \dots, z_r)$ („equivalence“).

QBF often allows much more compact encodings.

Well known: quantified CNF (w/ free vars) is

exponentially more concise than propositional CNF.



2 Main QBF Encoding Patterns:

- Abbreviations for **repeating subformulas**

$$\begin{aligned} & (\underline{A \vee \neg B \vee C} \vee D) \wedge (\underline{A \vee \neg B \vee C} \vee \neg E) \wedge (\underline{A \vee \neg B \vee C} \vee F) \\ & \approx \exists y (y \rightarrow (\underline{A \vee \neg B \vee C})) \wedge (y \vee D) \wedge (y \vee \neg E) \wedge (y \vee F) \end{aligned}$$

- Combining **multiple instantiations** of a subformula with different arguments

$$\begin{aligned} & \phi(a_{1,1}, \dots, a_{1,l}) \wedge \phi(a_{2,1}, \dots, a_{2,l}) \wedge \dots \wedge \phi(a_{k,1}, \dots, a_{k,l}) \\ & \approx \forall x_1 \dots \forall x_l \left(\bigvee_{i=1}^k \bigwedge_{j=1}^l (x_j \leftrightarrow a_{i,j}) \right) \rightarrow \phi(x_1, \dots, x_l) \end{aligned}$$



Nested Boolean Functions





Instantiations might also be nested:

$$((a_1 \wedge a_2) \vee (\neg a_1 \wedge \neg a_2)) \rightarrow ((b_1 \wedge b_2) \vee (\neg b_1 \wedge \neg b_2))$$

is of the form

$$\psi(\phi(a_1, a_2), \phi(b_1, b_2)).$$

→ Can we combine both instantiations of ϕ ?

Unfortunately, the encoding pattern from the previous slide is not sufficient!



Nested Boolean Functions 2/4

Nested Boolean functions are a powerful concept.

Example: **parity** of n Boolean variables

$$f_0(p_1, p_2) := (\neg p_1 \wedge p_2) \vee (p_1 \wedge \neg p_2)$$

$$f_1(p_1, p_2, p_3, p_4) := f_0(f_0(p_1, p_2), f_0(p_3, p_4))$$

$$f_2(p_1, \dots, p_{16}) := f_1(f_1(p_1, \dots, p_4), \dots, f_1(p_{13}, \dots, p_{16}))$$

...

→ $\log_2 \log_2 n + 1$ definitions of size $O(n)$.

Propositional case (only \wedge, \vee, \neg): **quadratic** lower bound



Definition

A **Nested Boolean Function (NBF)** is a sequence of functions $F = (f_0, \dots, f_k)$ with

- **initial functions** f_0, \dots, f_t
with $f_i(x^i) := \alpha_i(x^i)$ for a propositional formula α_i
over $x^i := (x^{i,1}, \dots, x^{i,n_i})$.
- **compound functions** f_{t+1}, \dots, f_k
with $f_i(x^i) := f_{j_0}(f_{j_1}(x^i_1), \dots, f_{j_r}(x^i_r))$
for previously defined functions $f_{j_0}, \dots, f_{j_r} \in \{f_1, \dots, f_{i-1}\}$
and x^i_1, \dots, x^i_r being subsequences of x^i .



Nested Boolean Functions 4/4

The definition of NBF closely matches the definition of **Boolean Programs** [Cook/Soltys 2003].

Given a NBF $F = (f_0, \dots, f_k)$, the problem of **deciding** whether $f_k(a_1, \dots, a_{n_k}) = 1$ **for given arguments** a_1, \dots, a_{n_k} is PSPACE-complete [Cook/Soltys 2003].

Deciding whether there **exist satisfying arguments** is essentially the **same problem** (as with QBF).

PSPACE-completeness of both NBF and QBF suggests **efficient transformations** in both directions.



From NBF To QBF





NBF To QBF: Overview

Given NBF $F = (f_0, \dots, f_k)$, find poly-size QBF $\Phi(x^k) \approx f_k(x^k)$:

- indirectly via a polynomial-space Turing machine and its polynomial-size QBF encoding
- quadratic encoding by adapting a transformation from BPLK to G [Skelley 2004].

But: Encoding of f_i contains negated encoding of f_{i-1} , encoding scheme needs partial unfolding of definitions.

- now: linear encoding



Idea

Combination of basic encoding patterns:

- universal quantifiers represent different attributes
- existential quantifiers store results of instantiations

Example: $\psi(\phi(a_1), \phi(a_2))$

1. Separation of specific arguments from instantiation

$$\forall x$$
$$((x \leftrightarrow a_1) \rightarrow \phi(x)) \wedge ((x \leftrightarrow a_2) \rightarrow \phi(x))$$



NBF To QBF: Idea 1/2

Idea

Combination of basic encoding patterns:

- universal quantifiers represent different attributes
- existential quantifiers store results of instantiations

Example: $\psi(\phi(a_1), \phi(a_2))$

2. Abbreviation of $\phi(x)$

$$\forall x \exists y (\phi(x) \leftrightarrow y) \wedge$$
$$((x \leftrightarrow a_1) \rightarrow y) \wedge ((x \leftrightarrow a_2) \rightarrow y)$$



Idea

Combination of basic encoding patterns:

- universal quantifiers represent different attributes
- existential quantifiers store results of instantiations

Example: $\psi(\phi(a_1), \phi(a_2))$

3. Store intermediate results

$$\exists b_1 \exists b_2 \forall x \exists y (\phi(x) \leftrightarrow y) \wedge$$

$$((x \leftrightarrow a_1) \rightarrow (b_1 \leftrightarrow y)) \wedge ((x \leftrightarrow a_2) \rightarrow (b_2 \leftrightarrow y))$$



Idea

Combination of basic encoding patterns:

- universal quantifiers represent different attributes
- existential quantifiers store results of instantiations

Example: $\psi(\phi(a_1), \phi(a_2))$

3. Invoke ψ with stored results

$\exists b_1 \exists b_2 \forall x \exists y (\phi(x) \leftrightarrow y) \wedge$

$((x \leftrightarrow a_1) \rightarrow (b_1 \leftrightarrow y)) \wedge ((x \leftrightarrow a_2) \rightarrow (b_2 \leftrightarrow y)) \wedge$

$\psi(b_1, b_2)$



NBF To QBF: Idea 2/2

$$\begin{aligned} & \exists b_1 \exists b_2 \forall x \exists y (\phi(x) \leftrightarrow y) \wedge \\ & ((x \leftrightarrow a_1) \rightarrow (b_1 \leftrightarrow y)) \wedge ((x \leftrightarrow a_2) \rightarrow (b_2 \leftrightarrow y)) \wedge \\ & \psi(b_1, b_2) \end{aligned}$$

Why must $\exists b_1$ and $\exists b_2$ precede $\forall x$?

$$\begin{aligned} & \forall x \exists y \exists b_1 \exists b_2 (\phi(x) \leftrightarrow y) \wedge \\ & ((x \leftrightarrow a_1) \rightarrow (b_1 \leftrightarrow y)) \wedge ((x \leftrightarrow a_2) \rightarrow (b_2 \leftrightarrow y)) \wedge (b_1 \vee b_2) \end{aligned}$$

→ tautological regardless of ϕ , because we could choose favorable, but incorrect, values for b_i in the cases that $x \neq a_i$.



Complete Encoding:

1. Initial functions f_0, \dots, f_t :

$$\Phi_t := \forall x^t \dots \forall x^0 \exists y^t \dots \exists y^0 (f_0(x^0) = y^0) \wedge \dots \wedge (f_t(x^t) = y^t)$$

2. Compound function $f_i(x^i) := f_{j_0}(f_{j_1}(x^i_{j_1}), \dots, f_{j_r}(x^i_{j_r}))$:

$$\Phi_i := \forall x^i \exists y^i \exists b^{i,1} \dots \exists b^{i,r} Q_{i-1}$$

$$\phi_{i-1} \wedge$$

$$(x^i_{j_1} = x^{j_1} \rightarrow b^{i,1} = y^{j_1}) \wedge \dots \wedge (x^i_{j_r} = x^{j_r} \rightarrow b^{i,r} = y^{j_r}) \wedge$$

$$((b^{i,1}, \dots, b^{i,r}) = x^{j_0} \rightarrow y^i = y^{j_0})$$

3. Drop leading universal quantifiers $\forall x^k$ and add unit y^k .



From QBF To NBF





QBF To NBF: Idea 1/2

Given a **QBF** $\Phi(z_1, \dots, z_r) = Q_n v_n \dots Q_1 v_1 \phi(v_1, \dots, v_n, z_1, \dots, z_r)$,

find a **polynomial-size NBF** $F = (f_1, \dots, f_k)$, such that

$$\Phi(z_1, \dots, z_r) \approx f_k(z_1, \dots, z_r).$$

Idea: Expansion of quantifiers

$$\forall x \Phi(x, z_1, \dots, z_r) \approx \Phi(0, z_1, \dots, z_r) \wedge \Phi(1, z_1, \dots, z_r)$$

$$\exists x \Phi(x, z_1, \dots, z_r) \approx \Phi(0, z_1, \dots, z_r) \vee \Phi(1, z_1, \dots, z_r)$$

→ Let $g_{\forall}(a, b) := a \wedge b$ and $g_{\exists}(a, b) := a \vee b$.

QBF To NBF: Idea 2/2



Repeated expansion:

$$\forall x_2 \exists x_1 \phi(x_1, x_2, z) \approx g_{\forall}(g_{\exists}(\phi(0, 0, z), \phi(1, 0, z)), g_{\exists}(\phi(0, 1, z), \phi(1, 1, z)))$$

→ we need to avoid **exponential growth!**



QBF To NBF: Idea 2/2

Repeated expansion:

$$\forall x_2 \exists x_1 \phi(x_1, x_2, z) \approx g_{\forall}(g_{\exists}(\phi(0, 0, z), \phi(1, 0, z)), g_{\exists}(\phi(0, 1, z), \phi(1, 1, z)))$$

→ we need to avoid **exponential growth!**

Solution:

Define a **new function** after each single expansion step

$$f_1(x_2, z) := g_{\exists}(\phi(0, x_2, z), \phi(1, x_2, z))$$

$$f_2(z) := g_{\forall}(f_1(0, z), f_1(1, z))$$

QBF To NBF: Transformation



Given a QBF $\Phi(z) = Q_n v_n \dots Q_1 v_1 \phi(v_1, \dots, v_n, z)$, we define:

$$f_0(v_1, \dots, v_n, z) := \phi(v_1, \dots, v_n, z)$$

$$g_{\forall}(a, b) := a \wedge b$$

$$g_{\exists}(a, b) := a \vee b$$

and for $i = 1, \dots, n$:

$$f_i(v_{i+1}, \dots, v_n, z) := g_{Q_i}(f_{i-1}(0, v_{i+1}, \dots, v_n, z), f_{i-1}(1, v_{i+1}, \dots, v_n, z))$$

$$\rightarrow f_n(z) \approx \Phi(z)$$

and $F = (f_0, g_{\forall}, g_{\exists}, f_1, \dots, f_n)$ has length $O(|\Phi(z)|^2)$.



Quantified NBF 1/2

The ability to simulate quantifier expansion justifies an extension of NBF:

Quantified Nested Boolean Functions (QNBF)

with definitions of the form

$$f_i(x^i) := Qv^i \alpha_i(v^i, x^i)$$

or

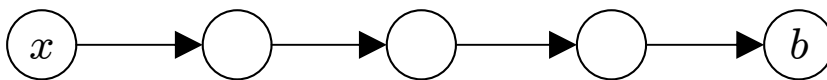
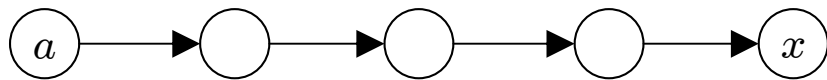
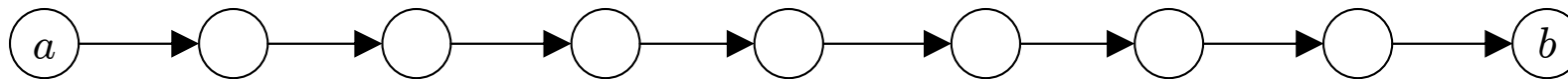
$$f_i(x^i) := Qv^i f_{j_0}(f_{j_1}(v^i_1, x^i_1), \dots, f_{j_r}(v^i_r, x^i_r))$$



Quantified NBF 2/2

Example: iterative squaring for bounded reachability

Does a given directed graph contain a path of length at most 2^k from a to b ?



$$f_0(a,b) := \delta(a,b) \vee (a=b)$$

$$f_i(a,b) := \exists x f_{i-1}(a,x) \wedge f_{i-1}(x,b) \text{ for } i=1\dots k$$



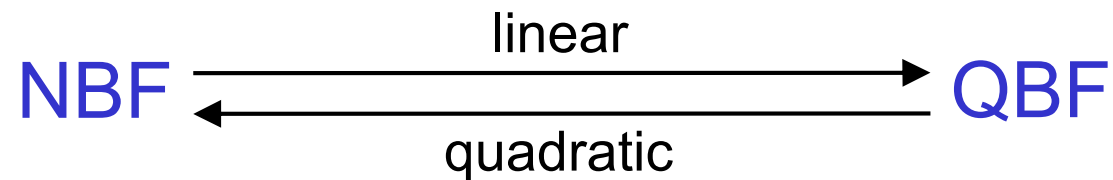
Conclusion



Conclusion



We have presented 2 transformations:



Open Questions:

- Is there a linear-size transformation from QBF to NBF?
- What about subclasses of NBF?